Application No. 10/006,368 of HOOGENDOOM et al. Amendment dated September 29, 2004 Page 1

RECEIVED CENTRAL FAX CENTER

SEP 2 9 2004

# IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application Number: 10/006,368

Confirmation No. 1673

Applicant

: HOOGENDOOM et al.

Filed

: 10 December 2001

Tech Cntr/AU

: 1751

Examiner

: D. G. Hamlin

Entitled

: NEW ESTERS AND ESTER COMPOSITIONS

Attorney Reference

118989-40284116

Customer Number

000043569

September 29, 2004

## CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.<u>8</u>

### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following papers are being transmitted by facsimile to the U.S. Patent and Trademark Office at (703) 872-9306 on the date shown below:

- Amendment Transmittal Letter with Extra Claim Fees;
- · Amendment; and
- Fee Transmittal, in duplicate.

Respectfully submitted,

Donna L. Lizama

Mayer, Brown, Rowe & Maw LLP

Intellectual Property Group

1909 K Street

Washington, D.C. 20006-1101

PTO/SB/17 (10-03) Approved for use through 07/31/2006, OMB 0851-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 10/006,368 Application Number Filing Date December 10, 2001 for FY 2004 HOOGENDOOM First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. Examiner Name D.G. Hamlin Applicant claims small entity status. See 37 CFR 1.27 Art Unit 1751 **TOTAL AMOUNT OF PAYMENT** (\$) 172.00 Attorney Docket No. 118989-40284116 METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money Order Check Credit card 3. ADDITIONAL FEES Other None arge Entity | Small Entity ✓ Deposit Account: Fee Code Fee Description Deposit Account (\$) Code 503-121 Fee Paid 1051 130 2051 Number 65 Surcharge - late filing fee or oath Deposit 1052 2052 Surcharge - late provisional filing fee or 50 25 Account cover sheet 1053 130 1053 130 Non-English specification e Director is authorized to: (check ell that apply) 1812 2,520 1812 2,520 For filling a request for ex parte reexamination ✓ Charge fee(s) indicated below ✓ Credit any overpayments 920" Requesting publication of SIR prior to 1804 9201 1804 Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee 1805 1,840 1805 1.840\* Requesting publication of SIR after to the above-identified deposit account, Examiner action 1251 110 2251 55 Extension for reply within first month **FEE CALCULATION** 420 2252 210 Extension for reply within second month 1. BASIC FILING FEE arge Entity Small Entity 950 2253 475 Extension for reply within third month Fee Pald Fee Description Fee res Code (\$) 1254 1.480 2254 740 Extension for reply within fourth month ode (5) 1255 2.010 2255 1,005 Extension for reply within fifth month 1001 770 2001 385 Utility filing fee 1002 340 2002 170 1401 330 2401 165 Notice of Appeal Design filing tee 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal 1004 770 2004 385 1403 290 2403 145 Request for oral hearing Reissue filino teo 1005 160 2005 1451 1,510 ŔΛ Provisional filing fee 1451 1,510 Petition to institute a public use proceeding 1452 **SUBTOTAL (1) | (\$)** 110 2452 55 Petition to revive - unavoidable 1453 1,330 2453 665 Patition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1.330 2501 665 Utility lesue fee (or reissue) ra Claims below 1502 480 2502 240 Design issue fee Total Claims 20 1503 640 2503 320 Plant issue fee Independent 85 3 1460 130 1460 130 Petitions to the Commissioner Claims Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1,17(q) Large Entity Small Entity 1806 180 1806 180 Submission of Information Disclosure Strat Fee Description Code (\$) 40 Recording each patent assignment per (5) 8021 40 8021 property (times number of properties) 1202 18 2202 9 Claims in excess of 20 385 Filing a submission after final rejection (37 CFR 1,129(a)) 1809 770 2809 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 385 For each additional invention to be examined (37 CFR 1.129(b)) 1810 770 2810 Reissue Independent claims over original patent 1204 66 2204 1801 770 2801 385 Request for Continued Examination (RCE) 800 Request for expedited examination 1205 Reissue claims in excess of 20 1802 900 1802 18 2205 and over original patent of a design application (\$) 172 Other fee (specify) SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) "for number previously paid, if greater; For Reissues, see abo SUBMITTED BY Registration No. Name (Print/Type) Christopher M. Beck 52,603 Telephone 202/263-3374 [Attomoy/Agnnt) Signature September 29, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patenta, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03) Approved for use through 07/31/2006, OMS 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 10/006,368 Application Number Filing Date for FY 2004 December 10, 2001 HOOGENDOOM First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. D.G. Hamlin Examiner Name Applicant claims small entity status. See 37 CFR 1.27 **Art Unit** 1751 TOTAL AMOUNT OF PAYMENT (\$) 172.00 Attorney Docket No 118989-40284116 METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Check Credit card Other None arge Entity | Small Entity Deposit Account: Fee Description Deposit (\$) Code 503-121 Fee Paid Account 1051 130 2051 65 Surcharge - late filing fee or oath Number Deposit Surcharge - late provisional filing fee or cover cheet 1052 50 2052 25 Account Name 1053 130 1053 130 Non-English specification Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination ✓ Charge fee(s) Indicated below ✓ Credit any overpayments 920\* Requesting publication of SIR prior to Examiner action 1804 920 1804 Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee 1805 1,8401 Requesting publication of SIR after 1805 to the above-identified deposit account. Examiner action 1251 110 2251 55 Extension for reply within first month **FEE CALCULATION** Extension for reply within second month 1252 420 2252 210 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month arge Entity Small Entity Fee Pald Fee Description Fee Fee Code (5) 1254 1.480 2254 740 Extension for reply within fourth month 1255 2.010 2255 1,005 Extension for reply within fifth month 1001 770 2001 385 Utility filing fee 1002 340 2002 170 1401 330 2401 165 Notice of Appeal Design filing (ee 165 Filing a brief in support of an appeal 1003 530 2003 285 1402 330 2402 Plant filing tee 1004 770 2004 385 1403 290 2403 145 Request for oral hearing Reissue filing fee 1005 160 2005 1451 1,510 1451 Provisional filing fee 1,510 Petition to institute a public use proceeding 1452 110 2452 SUBTOTAL (1) (\$) 55 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility ssue fee (or reissue) Fee from Ext<u>ra Claim</u>s Fee Paid below 1502 480 2502 240 Design issue fee **Total Claims** -20 1503 640 2503 320 Plant issue fee Independent 86 3 172 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 1806 180 1806 180 Submission of Information Disclosure Strat Fee Description 40 Recording each patent assignment per Code (\$) Code (\$) 8021 40 6021 property (times number of properties) 1202 Claims in excess of 20 18 2202 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) Independent claims in excess of 3 1201 86 2201 43 1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) \*\* Reissue independent claims over original patent 1204 86 2204 43 1801 2801 770 385 Request for Continued Examination (RCE) 1205 18 2205 Reissue claims in excess of 20 1802 900 1802 900 Request for expedited examination g and over original patent of a design application Other fee (specify) (\$) 172 SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) for number proviously paid, if greater; For Raissues, see above (Complete (if applicable)) SUBMITTED BY Registration No. Name (Print/Type) Christopher M. Telephone 202/263-3374 (Attorney/Acan) Signature September 29, 2004

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This collection of information is required by 67 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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1751

Examiner

D.G. Hamlin

Entitled

NEW ESTERS AND ESTER COMPOSITIONS

Attorney Reference:

118989-40284116

Customer Number :

. 000043569

## MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

# AMENDMENT/RESPONSE TRANSMITTAL

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Transmitted herewith is an amendment/response for this application.

# **EXTENSION OF TIME**

A petition for extension of time under 37 C.F.R. 1.136 is not believed necessary with this filing.

Application No. <u>09/508,923</u> Page 2

### **CLAIM FEES**

The claim fees have been calculated as follows:											
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE			ADDITIONAL FEE		
Total	20	_ ·	20	=	0	x	\$	18.00	=	\$	0.00
Independent	5		3	=	2	х	\$	86.00	=	\$	172.00
FIRST PRESE	NTATION	OFM	ULTIPLE	DEP.	CLAIN	1+	\$	280.00	=	\$_	0.00
TOTAL ADDITIONAL CLAIM FEE DUE										\$	172.00

### **FEE PAYMENT**

Authorization is given herein to charge any deficiencies in the fees, or to credit any overpayments, to Deposit Account No. <u>503-121</u> as necessary to keep this application pending.

Intellectual Property Department Mayer Brown Rowe & Maw LLP 1909 K Street, N.W. Washington, D.C. 20006-1101 (202) 263-3000 Telephone (202) 263-3300 Facsimile Christopher M. Beck Registration No. 52,603

Date: September 29, 2004